

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Patrick R. Buckley, et al.      Docket No. : IL-11255  
Serial No. :      Art Unit :  
Filed :      Examiner :  
For : SHAPE MEMORY SYSTEM WITH INTEGRATED ACTUATION  
USING EMBEDDED PARTICLES

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Alexandria, VA 22313-1450

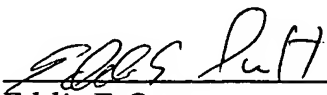
Dear Sir:

Forwarded herewith is an Information Disclosure Statement, Form-1449, in the above-identified application. Copies of the cited references are enclosed: 14 U.S. Patent Documents and 15 Other Disclosures.

The above-mentioned disclosures, which are not admitted as prior art, are identified on the enclosed Form 1449.

Respectfully submitted,

Dated: March 26, 2004

  
\_\_\_\_\_  
Eddie E. Scott  
Attorney for Applicant  
Registration No. 25,220

Enclosures: As noted above

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PTO/SB/08A (08-00)

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				Application Number	
				Filing Date	
				First Named Inventor Patrick R. Buckley, et al.	
				Group Art Unit	
				Examiner Name	
Sheet 1	of 3	Attorney Docket Number IL-11255			

### U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document Number	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		2002/0095169	A1	Maitland, et al.	07-18-2002	
		2002/0113066	A1	Stark, et al.	08-22-2002	
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		6,599,234	B1	Gray, et al.	07-29-2003	

### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>

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<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

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## **Complete if Known**

Sheet 2 of 3

Application Number  
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First Named Inventor Patrick R. Buckley, et al.  
Group Art Unit  
Examiner Name  
Attorney Docket Number IL-11255

## **OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T 2
		CETAS, T.C., et al., "A Ferrite Core/Metallic Sheath Thermoseed for Interstitial Thermal Therapies," IEEE Transactions on Biomedical Engineering, Vol. 45, No. 1, January 1998, pp. 68-77	
		OLESON, James R., "A Review of Magnetic Induction Methods for Hyperthermia Treatment of Cancer," IEEE Transactions on Biomedical Engineering, Vol. BME-31, No. 1, January 1984, pp. 91-97	
		SATO, M., et al., "An Experimental Study on a Soft Heating Method for Clinical Application of Interstitial Hyperthermia," IEEE Transactions on Magnetics, Vol. 29, No. 6, November 1993, pp. 3331-3333	
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		PAULUS, J.A., et al., "Evaluation of Inductively Heated Ferromagnetic Alloy Implants for Therapeutic Interstitial Hyperthermia," IEEE Transactions on Biomedical Engineering, Vol. 43, No. 4, April 1996, pp. 406-413	
		WETZEL, E., et al., "Feasibility of Magnetic Particle Films for Curie Temperature-Controlled Processing of Composite Materials," Army Research Laboratory, ARL-TR-2431, March 2001, 58 pages	
		MCCURRIE, R.A., "Ferromagnetic Materials Structure and Properties," Academic Press, Longon, 1994, 4 pages	
		JORDAN, J., et al., "Inductive Heating of Ferrimagnetic Particles and Magnetic Fluids: Physical Evaluation of Their Potential for Hyperthermia," Int. J. Hyperthermia, Vol. 9, No. 1, 1993, pp. 51-68	
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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS					
		MATSUKI, H., et al., "High Quality Soft Heating Method Utilizing Temperature Dependence of Permeability and Core Loss of Low Curie Temperature Ferrite," IEEE Transactions on Magnetics, Vol. MAG-21, No. 5, September 1985, pp. 1927-1929			
		STAUFFER, P. R., "Observations on the Use of Ferromagnetic Implants for Inducing Hyperthermia," IEEE Transactions on Biomedical Engineering, Vol. BME-31, No. 1, January 1984, pp. 76-90			
		HERGT, R., et al., "Physical Limits of Hyperthermia Using Magnetite Fine Particles, IEEE Transactions on Magnetics, Vol. 34, No. 5, September 1998, pp. 3745-3754			
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